



12-21-01

Section 1A

EXPRESS MAIL CERTIFICATE

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PATENT TRADEMARK OFFICE

EXPRESS MAIL CERTIFICATE

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I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

B.W. LEE
Name (Print)

B.W. Lee
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joanne MEYERS, Rory BARRINGTON-MARTIN and Alex PARKER

Serial No.: 09/935,464 Art Unit: 1655

Confirmation No.: 9495

Filed: August 23, 2001 Examiner: To be assigned

For: METHODS AND COMPOSITIONS FOR DIAGNOSING AND TREATING NEUROPSYCHIATRIC DISORDERS SUCH AS SCHIZOPHRENIA

U.S. Patent and Trademark Office PATENT APPLICATION
P.O. Box 2327
Arlington, VA 22202

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS AND COMPLETION OF PATENT APPLICATION

In response to the Notice to File Missing Parts of Nonprovisional Application (hereinafter referred to as the "Notice") mailed on October 18, 2001, Applicants submit the following items for completion of this application:

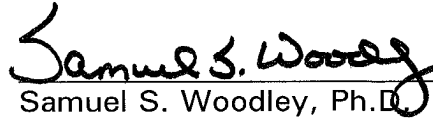
1. [X] A return copy of the Notice;
2. [X] Declaration and Power of Attorney document, executed by each of the above-named inventors (9 pages);
3. [X] An assignment, executed by each of the above-named inventors, for recordation in the U.S. Patent and Trademark Office (7 pages, including Recordation Form Cover Sheet);
4. [X] A check in the amount of \$2,086.00 in payment of the filing and recordation fees (as estimated on the attached **Fee Computation Sheet**), including the surcharge set forth in 37 C.F.R. § 1.16(l); and
5. [X] An Information Disclosure Statement (3 pages), including Form PTO-1449 (2 pages).

It is believed that no additional fees are required for these submissions. However, should the U.S. Patent and Trademark Office determine that any additional fee is required or that any refund is owed for this application, the Commissioner is

hereby authorized and requested to charge the required fee(s) and/or credit the refund(s) due to our Deposit Account No. 04-0100.

Respectfully submitted,

Dated: December 17, 2001

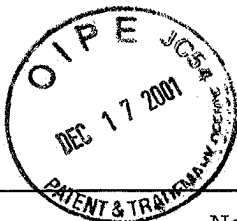


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Docket No.: 3322/1H702US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$740.00
Total Claims	62 - 20	- 0 = 42	x \$18.00	\$756.00
Independent Claims	8 - 3	- 0 = 5	x \$84.00	\$420.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL				\$2046.00
[] Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$2086.00



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/935,464	08/23/2001	Joanne M. Meyer	3322/1H702US1

CONFIRMATION NO. 9495

FORMALITIES LETTER



OC00000006933367

07278
DARBY & DARBY
805 THIRD AVENUE, 27TH FLR.
NEW YORK, NY 10022

Date Mailed: 10/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1156.
 - \$756 for 42 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1996.

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE